| Form PTO-1449        |   |                                |  |                       | Atty. Docket No.<br>IR-2869(EC) |                    | Serial No.<br>Unknown                            |                |          |                    |  |
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| LIS                  | T OF  | RELATED ART C<br>APPLICANT     | Inventor Kendall et al.                |                       |                                 |                    |  |                |          |                    |  |
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|                      |   |                                | U.S. PA                                | TENT                  | DOCUMENTS                       |                    | -  |                |          |                    |  |
| *Examiner<br>Initial |   | DOCUMENT NUMBER                | DATE                                   |                       | NAME                            | CL                 | ASS  | SUB            |          | FILING D<br>APPROP |  |
| INCE                 | AA  | 2,467,171                      | 4/49                                   |                       | Werner, et al.                  |                    | $\perp$  |                | 4        |                    | 1_   |
| 1                    | AB  | 2,615,007                      | 10/52                                  | Greenlee              |                                 |                    | $\perp$  | ļ              | Ц        |                    | $\bot$   |
|                      | AC  | 2,716,123                      | 8/55                                   | Frostick, Jr., et al. |                                 |                    | <u> </u>   |                | Ц        |                    |  |
|                      | AD  | 2,981,650                      | 4/61                                   | Bader, et al.         |                                 |                    | <u> </u>   |                |          |                    | <del> </del>                                     |
|                      | AE  | 3,030,336                      | 4/62                                   | Greenspan, et al.     |                                 |                    |  |                |          |                    | <del> </del>                                     |
|                      | AF  | 3,053,855                      | 9/62                                   | Maerker, et al.       |                                 |                    |  |                | Н        |                    |  |
|                      | AG  | 3,321,351                      | 5/67                                   | Buder, et al.         |                                 |                    |  | ļ              | $\sqcup$ |                    | <del>                                     </del> |
|                      | _AH_  | 3,333,025                      | 7/67                                   |                       | Bader, et al.                   |                    | <b></b>  | <u> </u>       | $\sqcup$ |                    |  |
| 1                    | Al  | 3,527,737                      | 9/70                                   | N                     | _                               | <u> </u>           | 1  |                |          |                    |  |
| A                    | LA.   | 3,725,504                      | 4/73                                   |                       | Owston                          | $\perp$            |  |                | Ш        |                    |  |
| Mac                  | AK  | 3,873,640                      | 3/75                                   | ]                     | Owston, et al.                  |                    |  |                |          | 1                  |  |
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|                      |   | DOCUMENT NUMBER                | R DATE                                 |                       | COUNTRY                         | Cl                 | LASS   | SUI            | 3        | TRANSI<br>YES      | NO<br>NO   |
| 11km                 | AL  | 1,113,722                      | 5/68                                   | <u>.</u>              | Great Britain                   | <u> </u>           | $\bot$   | 11             |          |                    |  |
| ĺ                    | AM  | 2,321,215                      |  | <u> </u>              | Great Britain                   |                    |  | 11             |          |                    |  |
| V                    | AN  | WO 01/32716                    | 5/01                                   |                       | PCT                             |                    | <del>                                     </del> |                |          |                    |  |
| Wa                   | AO  | WO 01/32717                    | 5/01                                   | PCT                   |                                 | _                  |  | 1              |          |                    | 1  |
|                      | AP  |                                |  |                       |                                 |                    | L  |                |          |                    |  |
|                      |   | OTHER I                        | RELATE                                 | D ART                 | (Including Author               | , Title,           | Date,  | Pertine        | nt P     | ages, Etc.)        |  |
| 1.6                  |   | Lieu, et al.                   | : "Cyclic H                            | ydrobor               | ate Complexes of M              | Metallo            | cenes  | IV" <u>Ino</u> | rgar     | nic Chemist        | ry   |
| Wa                   | - AR  |                                | No. 38, 14 April 1999, pages 2169-2173 |                       |                                 |                    |  |                |          |                    |  |
| 1. 0                 | Bai, et al: "Reactions of Borinic Esters with (1,1-Dichloroalky)Lithium. A Facile Synthesis of Teriary Carbinols" <u>Journal of Organometallic Chemistry.</u> , vol. 367, No. 3, 1989, pgs c9-c11 |                                |  |                       |                                 |                    |  |                | s of     |                    |  |
| we                   |   |                                |  |                       |                                 |                    |  |                | -c11.    |                    |  |
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| *Examiner Initial (1) DOCUMENT NUMBER DATE   |                                |                                |              | NAME       | -                                   | CLASS              | SUB           | E IF                  |                  |               |  |  |
| 1010   | AA                             | 4,081,308                      | 3/78         |            | Skoultchi                           |                    | 1             |                       | 74               |               |  |  |
| Î  | AB                             | 4,223,115                      | 9/80         | -          | Zalucha, et al.                     |                    |               |                       |                  |               |  |  |
|  | AC                             | 4,293,665                      | 10/81        |            | Zalucha, et al.                     |                    |               |                       |                  |               |  |  |
|  | AD                             | 4,331,795                      | 5/82         |            | Ukita, et al.                       |                    |               |                       |                  |               |  |  |
|  | AE                             | 4,348,503                      | 9/82         | Bachmann   |                                     |                    |               |                       |                  |               |  |  |
|  | AF                             | 4,467,071                      | 8/84         |            | Dawdy                               |                    | • 1           |                       |                  |               |  |  |
|  | AG                             | 4,452,944                      | 6/84         | Dawdy      |                                     |                    |               |                       |                  |               |  |  |
|  | AH                             | 4,769,419                      | 9/88         |            | Dawdy                               |                    |               |                       |                  |               |  |  |
|  | Al                             | 5,106,928                      | 4/92         | S          | koultchi, et al.                    |                    |               | 9-                    |                  |               |  |  |
| 1  | AJ                             | 5,143,884                      | 9/92         |            | koultchi, et al.                    |                    | 7             |                       |                  |               |  |  |
| all  | AK                             | 5,310,835                      | 5/94         |            | koultchi, et al.                    |                    | $\neg \neg$   |                       |                  |               |  |  |
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|  | AN                             | /                              |              |            |                                     |                    | $\mathcal{T}$ |                       |                  |               |  |  |
|  | AO                             |                                |              |            |                                     |                    |               |                       |                  |               |  |  |
|  | AP                             | /                              |              |            |                                     |                    |               |                       |                  |               |  |  |
|  |                                | OTHER I                        | RELATEI      | ) ART      | (Including Aut                      | hor, T             | itle, Date,   | Pertinent P           | Pages, Etc.)     |               |  |  |
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| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformation and not considered. Include copy of this form with next communication with applicant. |                                |                                |              |            |                                     |                    |               |                       |                  |               |  |  |

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| LIST OF RELATED ART CITED BY<br>APPLICANT                                |       |                                 |              |                  | Inventor Kendall et al.             |           |                               |                       |                       |  |  |
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| U.S. PATENT DOCUMENTS  |       |                                 |              |                  |                                     |           |                               |                       |                       |  |  |
| *Examiner Initial DOCUMENT NUMBER DATE                                   |       |                                 | NAME         | CLA              | SS                                  | SUB       | FILING DATE IF<br>APPROPRIATE |                       |                       |  |  |
| /No  | 1     | 5,376,746                       | 12/94        |                  | Skoultchi                           |           | 1                             |                       |                       |  |  |
|  | АВ    | 5,539,070                       | 7/96         |                  | Zharov, et al.                      |           | _                             |                       |                       |  |  |
|  | AC    | 5,641,834                       | 6/97         | Abbey, et al.    |                                     |           |                               |                       |                       |  |  |
|  | Ab    | 5,690,780                       | 11/97        | Zharov, et al.   |                                     | <u> </u>  |                               |                       |                       |  |  |
|  | AE    | 5,691,065                       | 11/97        | Zharov, et al.   |                                     |           |                               |                       |                       |  |  |
|  | A     | 6,210,863                       | 4/01         | Cu               | nningham, et al.                    |           |                               |                       |                       |  |  |
| V  | AG    | 3,163,679                       | 12/64        | Koster           |                                     | 4         |                               |                       |                       |  |  |
| all  | AH    | 4,525,309                       | 6/85         | Matteson, et al. |                                     |           |                               |                       |                       |  |  |
|  | A     |                                 |              |                  |                                     |           |                               | -                     | ·                     |  |  |
|  | A     |                                 |              |                  |                                     |           |                               |                       |                       |  |  |
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| if not in co   | nform | ation and not considered. Inc   | lude copy of | this for         | n with next communic                | cation wi | th ap                         | plicant.              |                       |  |  |